

RNS Number : 2506U  
 RiverFort Global Opportunities PLC  
 21 November 2019

**21 November 2019**

**RiverFort Global Opportunities plc**

**("RGO" or the "Company")**

**Holding in Company**

The Company have received the following forms which are reproduced without amendment below:

**TR-1: Standard form for notification of major holdings**

**NOTIFICATION OF MAJOR HOLDINGS** (to be sent to the relevant issuer and to the FCA in Microsoft Word format if possible)<sup>i</sup>

|  |   |
|--|---|
| <b>1a. Identity of the issuer or the underlying issuer of existing shares to which voting rights are attached<sup>ii</sup>:</b>  | <b>RIVERFORT GLOBAL OPPORTUNITIES PLC</b> |
| <b>1b. Please indicate if the issuer is a non-UK issuer</b> (please mark with an "X" if appropriate)   |   |
| Non-UK issuer  |   |
| <b>2. Reason for the notification</b> (please mark the appropriate box or boxes with an "X")   |   |
| An acquisition or disposal of voting rights  |   |
| An acquisition or disposal of financial instruments  |   |
| An event changing the breakdown of voting rights   |   |
| Other (please specify) <sup>iii</sup> : by means of a court-sanctioned scheme of arrangement, a merger of Miton Group plc and Premier Asset Management Group plc completed on 15th November, 2019. As a consequence, the regulated subsidiaries of those two firms are now wholly-owned subsidiaries of Premier Miton Group plc; namely Premier Fund Managers Limited (FRN 143097), Miton Asset Management Limited (FRN 115241) and Miton Trust Managers Limited (FRN 220241). | <b>X</b>                                  |
| <b>3. Details of person subject to the notification obligation<sup>iv</sup></b>  |   |
| Name   | <b>PREMIER MITON GROUP PLC</b>            |
| City and country of registered office (if applicable)  | <b>London, UK</b>                         |
| <b>4. Full name of shareholder(s)</b> (if different from 3.) <sup>v</sup>  |   |
| Name   | <b>PREMIER MITON GROUP PLC</b>            |
| City and country of registered office (if applicable)  | <b>London, UK</b>                         |
| <b>5. Date on which the threshold was crossed or reached<sup>vi</sup>:</b>   | <b>15/11/2019</b>                         |

|   |   |   |                                |  |
|---|---|---|--------------------------------|--|
| <b>6. Date on which issuer notified (DD/MM/YYYY):</b>                         |   | <b>20/11/2019</b>   |                                |  |
| <b>7. Total positions of person(s) subject to the notification obligation</b> |   |   |                                |  |
|   | % of voting rights attached to shares (total of 8. A) | % of voting rights through financial instruments (total of 8.B 1 + 8.B 2) | Total of both in % (8.A + 8.B) | Total number of voting rights of issuer <sup>vii</sup> |
| Resulting situation on the date on which threshold was crossed or reached     | <b>15.74%</b>   | <b>N/A</b>  | <b>15.74%</b>                  | <b>6,789,335,226</b>                                   |
| Position of previous notification (if applicable)                             | <b>N/A</b>  | <b>N/A</b>  | <b>N/A</b>                     |  |

**8. Notified details of the resulting situation on the date on which the threshold was crossed or reached<sup>viii</sup>**

**A: Voting rights attached to shares**

| Class/type of shares<br>ISIN code (if possible) | Number of voting rights <sup>ix</sup>               |  | % of voting rights                                  |  |
|---|---|--|---|--|
|   | Direct<br>(Art 9 of Directive 2004/109/EC) (DTR5.1) | Indirect<br>(Art 10 of Directive 2004/109/EC) (DTR5.2.1) | Direct<br>(Art 9 of Directive 2004/109/EC) (DTR5.1) | Indirect<br>(Art 10 of Directive 2004/109/EC) (DTR5.2.1) |
| <b>GB0001636918</b>                             |   | <b>1,068,509,993</b>                                     |   | <b>15.74%</b>  |
|   |   |  |   |  |
|   |   |  |   |  |
| <b>SUBTOTAL 8. A</b>                            | <b>1,068,509,993</b>                                |  | <b>15.74%</b>                                       |  |

**B 1: Financial Instruments according to Art. 13(1)(a) of Directive 2004/109/EC (DTR5.3.1.1 (a))**

| Type of financial instrument | Expiration date <sup>x</sup> | Exercise/ Conversion Period <sup>xi</sup> | Number of voting rights that may be acquired if the instrument is exercised/converted. | % of voting rights |
|------------------------------|------------------------------|---|--|--------------------|
|                              |                              |   |  |                    |
|                              |                              |   |  |                    |
|                              |                              |   |  |                    |
|                              |                              | <b>SUBTOTAL 8. B 1</b>                    |  |                    |

**B 2: Financial Instruments with similar economic effect according to Art. 13(1)(b) of Directive 2004/109/EC (DTR5.3.1.1 (b))**

| Type of financial instrument | Expiration date <sup>x</sup> | Exercise/ Conversion Period <sup>xi</sup> | Physical or cash settlement <sup>xii</sup> | Number of voting rights | % of voting rights |
|------------------------------|------------------------------|---|--|-------------------------|--------------------|
|                              |                              |   |  |                         |                    |
|                              |                              |   |  |                         |                    |
|                              |                              |   |  |                         |                    |
|                              |                              |   | <b>SUBTOTAL 8.B.2</b>                      |                         |                    |

**9. Information in relation to the person subject to the notification obligation** (please mark the applicable box with an "X")

Person subject to the notification obligation is not controlled by any natural person or legal entity and does not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer<sup>xiii</sup>

Full chain of controlled undertakings through which the voting rights and/or the financial instruments are effectively held starting with the ultimate controlling natural person or legal entity<sup>xiv</sup> (please add additional rows as necessary)

**X**

| Name <sup>xv</sup>                    | % of voting rights if it equals or is higher than the notifiable threshold | % of voting rights through financial instruments if it equals or is higher than the notifiable threshold | Total of both if it equals or is higher than the notifiable threshold |
|---------------------------------------|--|--|---|
| Premier Miton Group Plc               |  |  |   |
| Premier Asset Management Midco Ltd    |  |  |   |
| Premier Asset Management Holdings Ltd |  |  |   |
| Premier Asset Management Limited      |  |  |   |
| Premier Investment Group Ltd          |  |  |   |
| Premier Fund Managers Ltd             |  |  |   |
|                                       |  |  |   |
| Premier Miton Group plc               |  |  |   |
| Miton Group plc                       |  |  |   |
| Miton Group Service Company Limited   |  |  |   |
| Miton Asset Management Limited        | <b>15.74%</b>  |  | <b>15.74%</b>   |

|                                     |  |  |  |
|-------------------------------------|--|--|--|
| Premier Miton Group plc             |  |  |  |
| Miton Group plc                     |  |  |  |
| Miton Group Service Company Limited |  |  |  |
| Miton Holdings Limited              |  |  |  |
| Miton Trust Managers Limited        |  |  |  |

|  |     |
|--|-----|
| <b>10. In case of proxy voting, please identify:</b> |     |
| Name of the proxy holder                             | N/A |
| The number and % of voting rights held               | N/A |
| The date until which the voting rights will be held  | N/A |

|   |
|---|
| <b>11. Additional information<sup>xvi</sup></b> |
|   |

|                            |  |
|----------------------------|--|
| <b>Place of completion</b> | PREMIER MITON GROUP PLC, GUILDFORD, UK |
| <b>Date of completion</b>  | 20/11/2019                             |

### TR-1: Standard form for notification of major holdings

**NOTIFICATION OF MAJOR HOLDINGS** (to be sent to the relevant issuer and to the FCA in Microsoft Word format if possible)<sup>i</sup>

|  |   |
|--|---|
| <b>1a. Identity of the issuer or the underlying issuer of existing shares to which voting rights are attached<sup>i</sup>:</b> | Riverfort Global Opportunities<br>55 Park Lane London W1K 1NA |
| <b>1b. Please indicate if the issuer is a non-UK issuer</b> (please mark with an "X" if appropriate)                           |   |
| Non-UK issuer  | <input type="checkbox"/>                                      |
| <b>2. Reason for the notification</b> (please mark the appropriate box or boxes with an "X")                                   |   |

|   |   |
|---|---|
| An acquisition or disposal of voting rights         | X |
| An acquisition or disposal of financial instruments |   |
| An event changing the breakdown of voting rights    |   |
| Other (please specify) <sup>iii</sup> :             |   |

### 3. Details of person subject to the notification obligation<sup>iv</sup>

|   |                              |
|---|------------------------------|
| Name  | James Caird Investments Ltd  |
| City and country of registered office (if applicable) | Grand Cayman, Cayman Islands |

### 4. Full name of shareholder(s) (if different from 3.)<sup>v</sup>

|   |  |
|---|--|
| Name  |  |
| City and country of registered office (if applicable) |  |

### 5. Date on which the threshold was crossed or reached<sup>vi</sup>:

15<sup>th</sup> November 2019

### 6. Date on which issuer notified (DD/MM/YYYY):

19<sup>th</sup> November 2019

### 7. Total positions of person(s) subject to the notification obligation

|   | % of voting rights attached to shares (total of 8. A) | % of voting rights through financial instruments (total of 8.B 1 + 8.B 2) | Total of both in % (8.A + 8.B) | Total number of voting rights of issuer <sup>vii</sup> |
|---|---|---|--------------------------------|--|
| Resulting situation on the date on which threshold was crossed or reached | 5.86%   | 0.00%   | 5.86%                          | 6,789,335,226  |
| Position of previous notification (if applicable)                         | 6.92%   | 0.00%   | 6.92%                          |  |

### 8. Notified details of the resulting situation on the date on which the threshold was crossed or reached<sup>viii</sup>

#### A: Voting rights attached to shares

| Class/type of shares<br>ISIN code (if possible) | Number of voting rights <sup>ix</sup>               |  | % of voting rights                                  |  |
|---|---|--|---|--|
|   | Direct<br>(Art 9 of Directive 2004/109/EC) (DTR5.1) | Indirect<br>(Art 10 of Directive 2004/109/EC) (DTR5.2.1) | Direct<br>(Art 9 of Directive 2004/109/EC) (DTR5.1) | Indirect<br>(Art 10 of Directive 2004/109/EC) (DTR5.2.1) |
| GB0001636918                                    | 398,000,000   |  | 5.86%   |  |
|   |   |  |   |  |
|   |   |  |   |  |

|                      |             |       |
|----------------------|-------------|-------|
| <b>SUBTOTAL 8. A</b> | 398,000,000 | 5.86% |
|----------------------|-------------|-------|

**B 1: Financial Instruments according to Art. 13(1)(a) of Directive 2004/109/EC (DTR5.3.1.1 (a))**

| Type of financial instrument | Expiration date <sup>x</sup> | Exercise/ Conversion Period <sup>xi</sup> | Number of voting rights that may be acquired if the instrument is exercised/converted. | % of voting rights |
|------------------------------|------------------------------|---|--|--------------------|
|                              |                              |   |  |                    |
|                              |                              |   |  |                    |
|                              |                              |   |  |                    |
| <b>SUBTOTAL 8. B 1</b>       |                              |   |  |                    |

**B 2: Financial Instruments with similar economic effect according to Art. 13(1)(b) of Directive 2004/109/EC (DTR5.3.1.1 (b))**

| Type of financial instrument | Expiration date <sup>x</sup> | Exercise/ Conversion Period <sup>xi</sup> | Physical or cash settlement <sup>xii</sup> | Number of voting rights | % of voting rights |
|------------------------------|------------------------------|---|--|-------------------------|--------------------|
|                              |                              |   |  |                         |                    |
|                              |                              |   |  |                         |                    |
|                              |                              |   |  |                         |                    |
| <b>SUBTOTAL 8.B.2</b>        |                              |   |  |                         |                    |

**9. Information in relation to the person subject to the notification obligation** (please mark the applicable box with an "X")

|   |          |
|---|----------|
| Person subject to the notification obligation is not controlled by any natural person or legal entity and does not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer <sup>xiii</sup> | <b>X</b> |
|---|----------|

|  |  |
|--|--|
| Full chain of controlled undertakings through which the voting rights and/or the financial instruments are effectively held starting with the ultimate controlling natural person or legal entity <sup>xiv</sup> (please add additional rows as necessary) |  |
|--|--|

| Name <sup>xv</sup> | % of voting rights if it equals or is higher than the notifiable threshold | % of voting rights through financial instruments if it equals or is higher than the notifiable threshold | Total of both if it equals or is higher than the notifiable threshold |
|--------------------|--|--|---|
|                    |  |  |   |

|  |  |  |  |
|--|--|--|--|
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

|  |  |
|--|--|
| <b>10. In case of proxy voting, please identify:</b> |  |
| Name of the proxy holder                             |  |
| The number and % of voting rights held               |  |
| The date until which the voting rights will be held  |  |

|  |
|--|
| <b>11. Additional information</b> <sup>xvi</sup> |
|  |

|                            |                  |
|----------------------------|------------------|
| <b>Place of completion</b> | London           |
| <b>Date of completion</b>  | 19 November 2019 |

**For more information, please contact:**

RiverFort Global Opportunities plc: +44 (0) 20 3368 8978  
Philip Haydn-Slater, Non-Executive Chairman  
Nicholas Lee, Investment Director

Nominated Adviser: +44 (0) 20 7628 3396  
Beaumont Cornish  
Roland Cornish/Felicity Geidt

Joint Broker: +44 (0) 20 7601 6100  
Shard Partners LLP  
Damon Heath/Erik Woolgar

Joint Broker: +44 (0) 20 7562 3351  
Peterhouse Capital Limited  
Lucy Williams

This information is provided by RNS, the news service of the London Stock Exchange. RNS is approved by the Financial Conduct Authority to act as a Primary Information Provider in the United Kingdom. Terms and conditions relating to the use and distribution of this information may apply. For further information, please contact [rns@lseg.com](mailto:rns@lseg.com) or visit [www.rns.com](http://www.rns.com).